

1 ***In-situ* Reverse Phased HPLC Analysis of Intact**
2 **Antibody-Drug Conjugates**

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14

15 **ABSTRACT**

16 The field of oncology has recently seen an exponential growth in antibody-drug conjugates (ADCs) as a
17 biopharmaceutical class with seven ADCs being launched onto the market in the last ten years. Despite the
18 increase in the industrial research and development of these compounds, their structural complexity and
19 heterogeneity continue to present various challenges regarding their analysis including reaction monitoring.
20 Robust and simple reaction monitoring analysis are in demand in the view of at-line in-process monitoring,
21 and can instill control, confidence and reliability in the ADC manufacturing process. Aiming at providing
22 chromatographic methods for conjugation monitoring, we evaluated herein the potential of utilizing reverse
23 phase HPLC analysis, without sample pretreatment, for characterization of traditional cysteine-based ADCs.
24 This analysis can be used for estimation of drug antibody ratio (DAR), which has shown the same trends
25 and results as other well-established HPLC techniques. This methodology was also applied to three ADCs
26 derived from three different antibodies. Additionally, we analyzed unpurified ADC samples existing in a
27 complex reaction matrix and separated ADC species and payload compounds. This investigation was
28 conducted using three different ADCs based on different payloads. The results described herein indicate
29 the potential application of this RP-HPLC methodology in reaction monitoring studies.

30

31 **KEYWORDS:** Antibody-Drug Conjugate, Cysteine Conjugation, Reverse Phase HPLC, in-situ analysis, In
32 Process Control

33

34 **Introduction**

35 Antibody-drug conjugates (ADCs) have rapidly become one of the major approaches for cancer
36 therapy in recent years. To date, nine ADCs are on the market and more than 85 ADCs are in clinical stage
37 trials.¹⁻³

38 ADCs consist of a recombinant monoclonal antibody, which has specificity to bind to the target cell,
39 conjugated to a highly potent drug through covalent bond. Various methods have been developed to construct
40 this linkage between an antibody and a payload. The well-established synthetic approach is based on
41 nonspecific drug conjugation using reduced interchain cysteines.⁴⁻⁶ While this conjugation technology
42 provided four commercially available ADCs, analysis for these cysteine-based ADCs remains a challenge
43 due to their heterogeneity.⁷ Recently, the importance of analytical strategy was discussed in several
44 literatures.⁸⁻¹⁰ Due to the structural complexity of ADCs, multiple analytical methods are required for
45 analysis depending on the project type and reaction stage (SI, Table S1). In the reaction screening stage,
46 data accuracy is not a strict requirement due to limitations in the quantity of the ADC samples. The objective
47 for this stage is simply to obtain a “green light” or “red light”. High throughput analysis is of ultimate
48 importance in this stage, therefore crude analysis, which requires no sample preparation and/or pretreatment,
49 is preferred. In the case of later stages, the analytical objective is different. For reaction monitoring like an
50 in-process control (IPC), data accuracy is not as crucial since the purpose is to see the status of the reaction.
51 On the other hand, the final ADC product requires thorough and accurate analysis through the utilization
52 of several different analytical methods. For the IPC in ADC manufacturing, real-time DAR analysis for
53 conjugation reaction is important, however, there are very limited reports explaining ADC monitoring, to
54 the best of our knowledge.^{9, 11-13} Furthermore, no examinations have shown the monitoring of conjugation
55 reaction by direct HPLC technology requiring no sample treatment and/or preparation before injection. To
56 minimize the workload of the manufacturing operators, more user friendly and rapid monitoring methods
57 are in demand.

58 HPLC analysis is commonly used for DAR characterization because of the hydrophobicity of the
59 payloads. Hydrophobic interaction chromatography HPLC (HIC-HPLC) and reduced reverse phase HPLC
60 (RP-HPLC) are routinely used for DAR analysis of cysteine-based ADCs, but previous comparison studies
61 have reported DAR discrepancies between the two techniques.^{8-10,14-16} Additionally, these HPLC methods
62 are not suitable for reaction monitoring without pretreatment.¹⁷ HIC-HPLC requires a purification step to
63 remove hydrophobic molecules such as payloads that have the potential to clog the column, while reduced
64 RP-HPLC requires a pretreatment step to reduce the ADC samples.

65 With the goal of providing a reliable HPLC technique for in-situ monitoring of ADC conjugation, we
66 decided to demonstrate reverse phase HPLC analysis for intact cysteine-based ADCs without any sample
67 pretreatment. Limited reports in the chemical literature examine RP-HPLC for intact ADC
68 characterization¹⁸⁻²⁰ and no investigation of application for unpurified cysteine-based ADC analysis without
69 any pretreatment has been reported in peer-reviewed literature to our knowledge.

70 When we began this investigation, the intact RP-HPLC technique was used for only site-specific ADCs
71 produced by antibody engineering methods,^{18,19} which are the preferred models to demonstrate new
72 analytical techniques due to their simple composition. However, the most well-established approach to
73 synthesize ADCs in the market is through cysteine-based conjugation technology, therefore it was required
74 to apply this HPLC approach for the characterization of cysteine-based ADCs. In 2019, Wirth and co-
75 workers reported excellent methodology to apply RP-HPLC analysis for intact and purified cysteine-based
76 ADCs.²⁰ To the best of our knowledge, this is the first example of RP-HPLC for intact cysteine-based ADC
77 characterization. Furthermore, Wirth's group succeeded in the application of a RP-HPLC method for native
78 MS analysis. 50 mM ammonium acetate, which is a well-known mass spectrometry (MS) compatible buffer,
79 was used for mobile phases in order to be applied for native RP-HPLC-MS. This method allowed DAR
80 characterization and distribution in a single analysis; however, Wirth's group evaluated only one ADC with
81 this RP-HPLC technique and did not investigate unpurified ADC analysis. Typically, payload related
82 compounds have higher hydrophobicity which increases the risk of clogging the column, therefore, careful

83 mobile phase investigation is considered to be essential for crude ADC analysis. These limitations and the
84 potential demand for in-situ conjugation monitoring prompted us to investigate RP-HPLC analysis that can
85 be applicable to crude ADCs.

86 Here we reported the feasibility study of RP-HPLC analysis for intact cysteine-based ADCs. The
87 reliability of this methodology was confirmed by three different ADCs produced by three different
88 reduction conditions. The peak distributions obtained from the resulting ADCs provided reasonable trends
89 that aligned with previous DAR information generated by reduced RP-HPLC analysis. This methodology
90 was also applied to three different ADC syntheses, derived from three antibodies having different isoelectric
91 points (PIs). Furthermore, a feasibility study using this methodology for crude ADC analysis was also
92 performed. This crude analysis was applied to three different ADCs derived from three different payloads
93 to demonstrate that this strategy has the potential for IPC use in ADC manufacturing.

94

95 **Materials and Methods**

96 **Materials**

97 IgG1 trastuzumab (commercial name: Herceptin®) and rituximab (commercial name: Rituxan®) were
98 purchased from Roche Pharmaceutical Company (Switzerland). Human IgG1 infliximab (commercial
99 name: Humira®) was purchased from Sigma-Aldrich (USA). MC-VC-MMAE (CAS#: 646502-53-6) was
100 purchased from NJ Biopharmaceuticals LLC (USA). SMCC-DM1 (CAS#: 1228105-51-8) and MC-MMAF
101 (CAS#: 1228105-51-8) were purchased from Abzena (USA). T-DM1 (commercial name: Kadcyra®) was
102 acquired from Genentech (USA) and reconstituted to 5 mg/mL formulation buffer (20 mM histidine
103 containing 5% trehalose, pH 5.2) by gel-filtration. All other chemical reagents were acquired from Sigma-
104 Aldrich (USA).

105 **General synthetic procedure for cysteine-based ADC**

106 The IgG1 antibody (1.0 mg) was dissolved in water and then buffer exchanged into conjugation buffer (0.25
107 mL, pH 7.5, 50 mM PBS, 10 mM EDTA) to prepare for the conjugation process. The reduction reaction
108 began with the addition of a defined molar ratio of tris-(2-carboxyethyl)-phosphine hydrochloride (TCEP-
109 HCl) to antibody which was stirred mildly for 2.5 h at 20 °C. Dimethylacetamide (DMA) (8% v/v) and 7
110 eq. of drug-linker were sequentially added to the resulting reaction mixture and stirred mildly for 1 h at 20
111 °C. Unreacted drug linker was quenched with the addition of 25 eq of *N*-Acetyl-L-cysteine (NAC) and
112 mixed for 25 min at 20 °C. The final mixture was purified using NAP-10 desalting columns (purchased
113 from GE Healthcare Life Sciences, USA) and eluted with pH 5.2, 20 mM histidine, 5% trehalose.

114 **Protein concentration**

115 Protein concentration was determined by the Slope Spectroscopy® method with a Solo-VPE system as
116 previously reported.²¹

117 **RP-HPLC analysis for reduced ADCs (Condition A)**

118 RP-HPLC analysis was performed based on previously reported literature.²² Each sample was prepared as
119 follows: 1.0 mg/mL of ADCs in 500 mM tris buffer, pH 7.5, was diluted to 0.6 mg/mL in 8 M guanidine
120 HCl, and reduced by addition of 1 M DL-Dithiothreitol (DTT). The mixture was incubated at 80 °C for 10
121 min and was analyzed using AdvanceBio RP-mAb Diphenyl, 2.1 × 100 mm, 3.5 µm column (Agilent),
122 connected to an Agilent 1260 HPLC system containing a binary gradient pump, temperature-controlled
123 column compartment, autosampler, and a diode array detector. The system conditions were as follows: flow
124 rate = 0.4 mL/min at 70 °C; mobile phase A (MPA) = 0.1% trifluoroacetic acid (TFA) and 2% acetonitrile
125 in water; mobile phase B (MPB) = 0.1% TFA in acetonitrile. The absorbance was monitored at 280 nm
126 (reference wavelength at 450 nm). Each ADC (20 µL) was injected into the system and eluted over a 35
127 min run consisting of a 2 min isocratic hold at 30% MPB, a 22 min linear gradient from 30% to 48% MPB,
128 a 3 min wash using 95% MPB, and an 8 min re-equilibration at 3 0% MPB.

129

130 **RP-HPLC analysis for reduced ADCs (Condition B)**

131 Each sample was prepared as follows: 1.0 mg/mL of ADCs in 500 mM tris buffer, pH 7.5, was diluted to
132 0.6 mg/mL in 8 M guanidine HCl and reduced by addition of 1 M DL-Dithiothreitol (DTT). The mixture
133 was incubated at 80 °C for 10 min and was analyzed using Sepax Proteomix RP-1000 5 µm 2.1 × 50 mm
134 column (Sepax Technologies, Inc., USA), connected to an Agilent 1260 HPLC system containing a binary
135 gradient pump, temperature-controlled column compartment, autosampler, and a diode array detector. The
136 system conditions were as follows: flow rate = 0.5 mL/min at 80 °C; MPA = 0.1% TFA and 2% acetonitrile
137 in water; MPB = 0.1% TFA in acetonitrile. The absorbance was monitored at 280 nm (reference wavelength
138 at 450 nm). Each ADC (20 µL) was injected into the system and eluted over a 22 min run consisting of a 1
139 min isocratic hold at 30% MPB, a 15 min linear gradient from 30% to 45% MPB, a 3 min wash using 95%
140 MPB, and a 3 min re-equilibration at 30 % MPB.

141 **RP-HPLC analysis for intact ADCs (Condition C)**

142 RP-HPLC analysis was performed on a Sepax Proteomix RP-1000 5 µm 2.1 × 50 mm column (Sepax
143 Technologies, Inc., USA), connected to an Agilent 1260 HPLC system containing a binary gradient pump,
144 temperature-controlled column compartment, autosampler, and a diode array detector. The system
145 conditions were as follows: flow rate = 0.5 mL/min at 80 °C; MPA = 0.1% TFA and 2% acetonitrile in
146 water; MPB = 0.1% TFA in acetonitrile. The absorbance was monitored at 280 nm (reference wavelength
147 at 450 nm). Each ADC (20 µL) was injected into the system and eluted over a 22 min run consisting of a 1
148 min isocratic hold at 30% MPB, a 15 min linear gradient from 30% to 45% MPB, a 3 min wash using 95%
149 MPB, and a 3 min re-equilibration at 30 % MPB.

150

151 **Results and Discussion**

152

153 *Peak distribution obtained from RP-HPLC for intact ADCs*

154 For feasibility study of RP-HPLC analysis, trastuzumab-MMAE was prepared by traditional cysteine
155 based conjugation (Figure 1),^{23, 24} this method includes a partial reduction of the interchain disulfide bonds
156 of the antibody by TCEP, followed by thiol maleimide coupling with commercially available MC-VC-
157 MMAE.

158 To apply RP-HPLC to intact cysteine-based ADCs, measurement conditions were modified based on
159 previous literature.¹⁸ To improve peak separation, a slow flow rate (0.5 mL/min) and slightly longer gradient
160 (14 min) were required (condition C in materials and methods section). Since cysteine-based ADCs have a
161 more complicated composition than site-specific ADCs, a wider peak distribution will be observed.
162 Especially with the most hydrophobic species (H3: heavy chain modified with 3 MMAEs), as they will be
163 eluted at a later retention time. Due to this widened distribution, a slow flow rate and longer gradient was
164 needed. Several commonly used RP-HPLC columns were evaluated including an Agilent AdvanceBio RP-
165 mAb Diphenyl (resin matrix: diphenyl) and a Zorbax RRHD EclipsePlus C18 (resin matrix: C18), but a
166 Proteomix RP-1000 column (resin matrix: cross-linked polystyrene and divinylbenzene) provided the most
167 sufficient peak separation. In 2016, Yin and co-workers reported that RP-HPLC using Proteomix RP-1000
168 columns gave the best performance for site-specific ADC characterization in their investigation.¹⁸ Our
169 present comparison study supported Yin's report and indicated that the cross-linked polystyrene and
170 divinylbenzene can be the most suitable resin matrix even for use with more complicated ADCs. In the
171 chromatograms for blank samples after ADC injection, no evidence of carryover was observed, indicating
172 that this condition can be used for high throughput analysis with no requirements for blank sample runs
173 between samples (SI, Figure S1).

174 For peak identification, additional analysis was required. It is well-known that some species of the
175 resulting ADCs do not possess covalent bonds between the heavy and light chains; therefore, we predicted

176 that several peaks would be observed in the chromatogram due to dissociation from ADCs into the
177 corresponding subunits (Figure 2b). To assign these peaks, we evaluated naked trastuzumab (Figure 2a) by
178 the same HPLC method (condition C, see experimental section). Wirth group reported that the peak
179 characterization by both intact RP-HPLC and RP-HPLC coupled with quadrupole time-of-flight mass
180 spectrometry (Q-TOF MS), would show four main peaks (L1, H3, HH2, HL2 and HL1) (Figure 3b).²⁰
181 Theoretically, the other compounds illustrated in figure 3c should be observed, but they were not detected
182 by Wirth's analysis. To understand some peaks obtained from our present study, RP-HPLC for fully reduced
183 ADC was also investigated utilizing the same HPLC condition (condition B in experimental section). These
184 comparison studies helped assign three peaks as shown in figure 2b. The earliest main peak (retention time
185 = 6.08 min) corresponded to light chain conjugated with MMAE (L1) based on comparison with reduced
186 ADC sample. The second earliest peak (retention time = 6.66 min) was identified with naked trastuzumab
187 (D0). The latest peak (retention time = 12.3 min) was assigned as heavy chain conjugated with MMAE
188 (H3). The three peaks (retention times = 7.25, 8.37 and 9.10 min) were observed between the D0 and H3,
189 however, they did not match the retention time for naked trastuzumab nor fully reduced trastuzumab-
190 MMAE. Based on Wirth's report, these peaks may be assigned as HH2, HL2 and HL1, but to clarify the
191 identity of these remaining peaks, Q-TOFMS investigation is required. Considering the application to Q-
192 TOF MS, the mobile phases required modification to enhance ionization efficacy. We tested formic acid
193 (FA) containing mobile phases which indicated showing that the additional investigation was needed due
194 to insufficient peak separation. Typically, FA has negative impact for peak resolution. The mobile phase
195 optimizations to overcome this issue are currently underway. Additionally, some additional analytical and
196 purification studies are ongoing for this system. Analytical studies to elucidate the site occupancy of these
197 ADCs using recently established analytical methods such as peptide mapping²⁵ and subunit analysis²⁶ are
198 underway. Purification studies to separate DAR species are also started to obtain simpler ADC composition
199 which enables further analysis simplification.²⁷

200 Next, the reproducibility of this analysis was confirmed by three different ADCs that were synthesized
201 utilizing three different TCEP equivalents to provide different DARs (Figure 4).

202
203 The peak area of H3 compound was reflected in the TCEP amount, therefore, we expected the peak area of
204 H3 generated from this intact analysis to predict the DAR generated from traditional RP-HPLC analysis of
205 purified ADCs.

206
207 **DAR prediction from area % of H3 peak**

208 The relationship area % of H3 and DAR was evaluated by three trastuzumab-MMAE ADCs with different
209 DARs utilizing three different TCEP equivalents (Figure 4). These DARs were confirmed by the RP-HPLC
210 for reduced ADCs (condition A in experimental section). In addition to trastuzumab derived ADCs, we also
211 included rituximab-based ADCs and infliximab-based ADCs in this comparison study (Figure S2, S3 and
212 in Supplementary material).

213 In general, reactivity of an antibody is dependent on its PI.²⁸ Therefore, these three antibodies showed
214 different conjugation efficacy, as reflected by the DAR (Figure 4). A discussion of these differences in
215 reactivity was recently published in another peer-reviewed journal.²⁴ In the case of all three antibodies, the
216 H3 peak obtained by intact ADCs showed a trend similar to that of DAR, suggesting that RP-HPLC analysis
217 without pretreatment has the potential to be used for a variety of ADCs (Table 1).

218 We also applied this methodology to Kadcylla (T-DM1), a commercially available lysine-based ADC. These
219 types of ADCs are highly heterogeneous and contain more than eighty isomers, making analysis
220 exceptionally challenging.²⁹ Naked trastuzumab provided a single sharp peak but the analytical result of T-
221 DM1 was extremely complicated, indicating that this analytical approach is not ideal for lysine-based ADCs

222 (Figure S4). This limitation was also found in traditional HIC-HPLC and reduced RP-HPLC, which further
223 demonstrates the difficulty in analyzing these types of ADCs even with various HPLC methods.^{30,31}

224

225 ***in-situ* RP-HPLC analysis**

226 Finally, to evaluate the potential to find utility in conjugation reaction monitoring by intact RP-HPLC, an
227 *in-situ* analysis without any purification was investigated. RP-HPLC can separate ADC compounds and
228 drug-linker related impurities in the RP-HPLC column, while omitting the pretreatment sample preparation
229 required for DAR determination. The ADC sample was directly injected from the reaction mixture into the
230 RP-HPLC system (condition C, see material and method section) without any purification. An *in-situ* RP-
231 HPLC analysis of trastuzumab-MMAE provided a peak distribution that was identical to the analysis using
232 a purified ADC (Figure 5a). The MC-VC-MMAE drug-linker was also evaluated and demonstrated that
233 drug-linker separated from ADCs in the chromatogram (Figure 5b and c), indicating that RP-HPLC is useful
234 for the *in-situ* monitoring of conjugation efficacy. In addition to MMAE based ADCs, trastuzumab-DM1
235 and trastuzumab-MMAF, both of which have differing hydrophobicity compared to MMAE, were also
236 evaluated by this intact HPLC method and showed the compatibility of this analysis with a variety of ADCs
237 (Figure 5d-5i).

238

239

240

241 **Conclusion**

242 Investigation of RP-HPLC analysis for intact ADCs was conducted. Peak distribution results provided the
243 same trends as DAR results produced by well-established HPLC techniques, and compatibility was
244 confirmed by three different ADCs. A feasibility study of an in-situ RP-HPLC analysis showed the potential
245 of this method for conjugation reaction monitoring. This manuscript reporting first application of in-situ
246 RP-HPLC to DAR characterization and reaction monitoring of cysteine-based ADCs has the potential to
247 serve as a gateway to initiate further investigations of HPLC strategies for ADC analysis.

248

249

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254 **Supporting Information**

255 This material is available free of charge on the Web at <https://www.jsac.or.jp/analsci/>.

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259 **References and Notes**

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305

Table 1. Comparison of H3 species and DAR

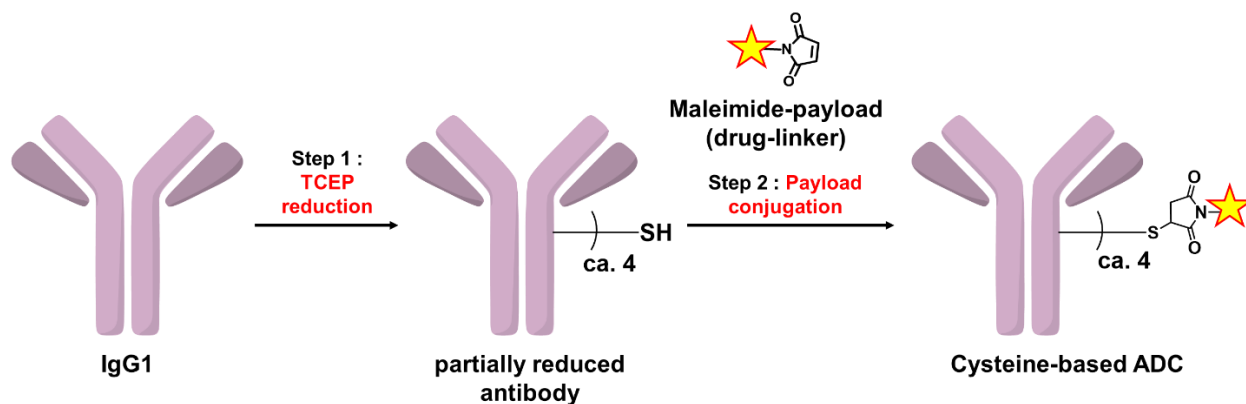
	TCEP equivalent	Area % in intact ADC analysis	DAR in reduced ADC analysis
Trastuzumab-MMAE	2.2 eq	9.7	3.6
	2.4 eq	11.9	3.8
	2.6 eq	16.1	4.0
Rituximab-MMAE	2.2 eq	6.0	3.0
	2.4 eq	8.4	3.3
	2.6 eq	9.3	3.4
Infliximab-MMAE	2.2 eq	7.6	3.4
	2.4 eq	9.8	3.6
	2.6 eq	12.2	3.8

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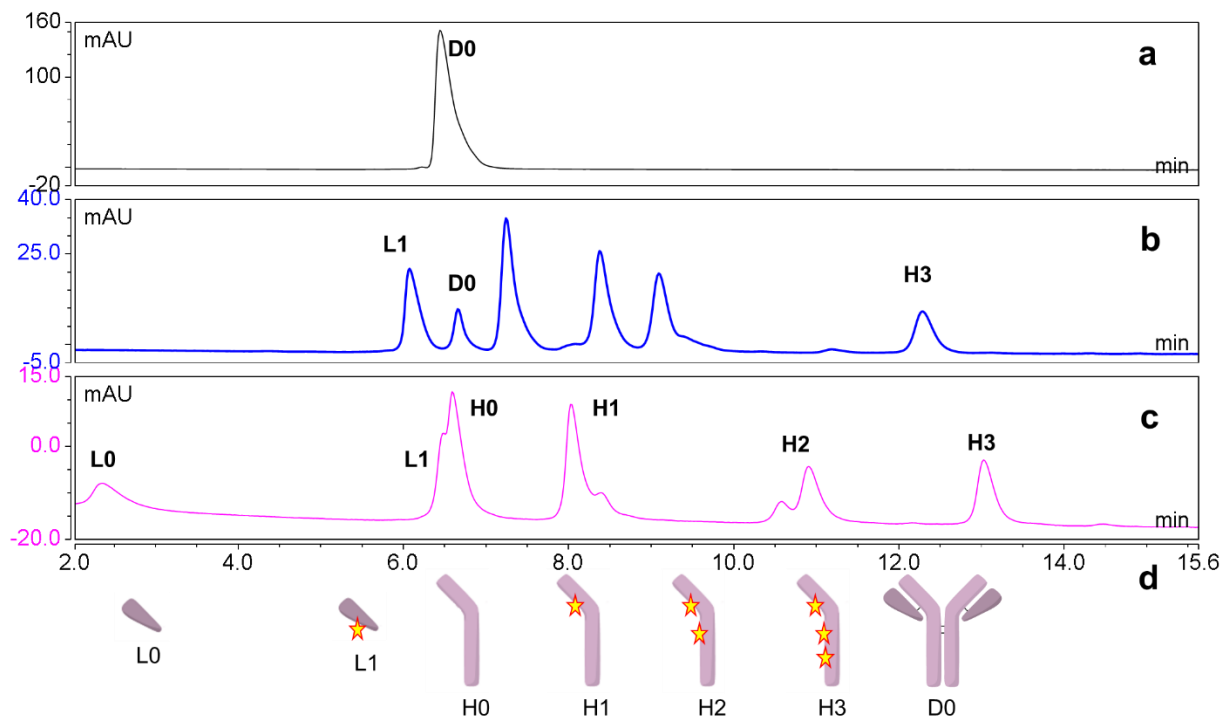


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311

Fig. 1 Overview of the conjugation reaction.

312



313

314 Fig. 2 Comparison of RP-HPLC results; a) analysis of trastuzumab under intact conditions, b) analysis of trastuzumab-

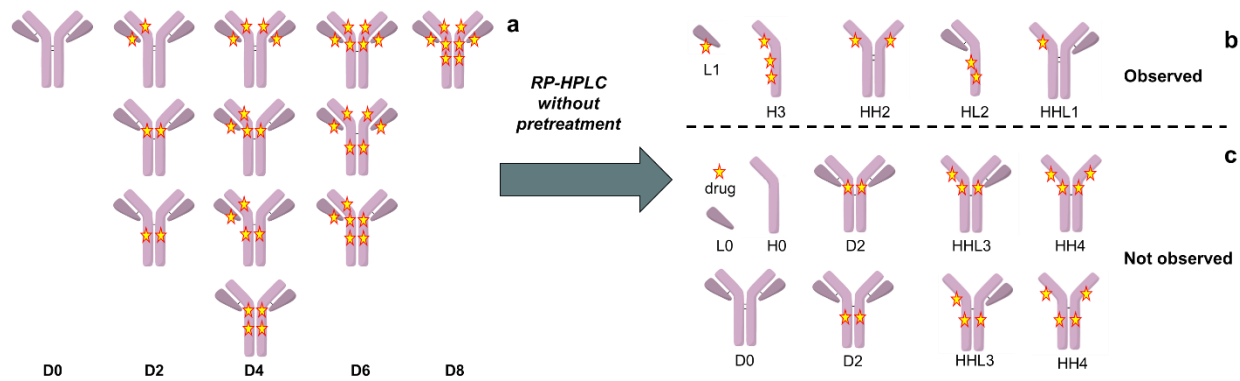
315 MMAE under intact conditions, c) analysis of trastuzumab-MMAE under reduced conditions (condition B in

316 experimental section), d) abbreviation and illustration for peak assignments

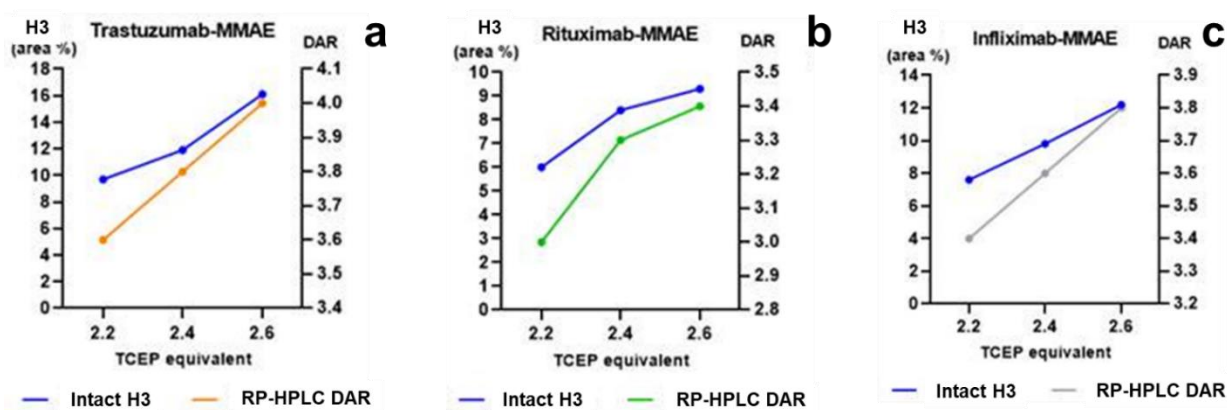
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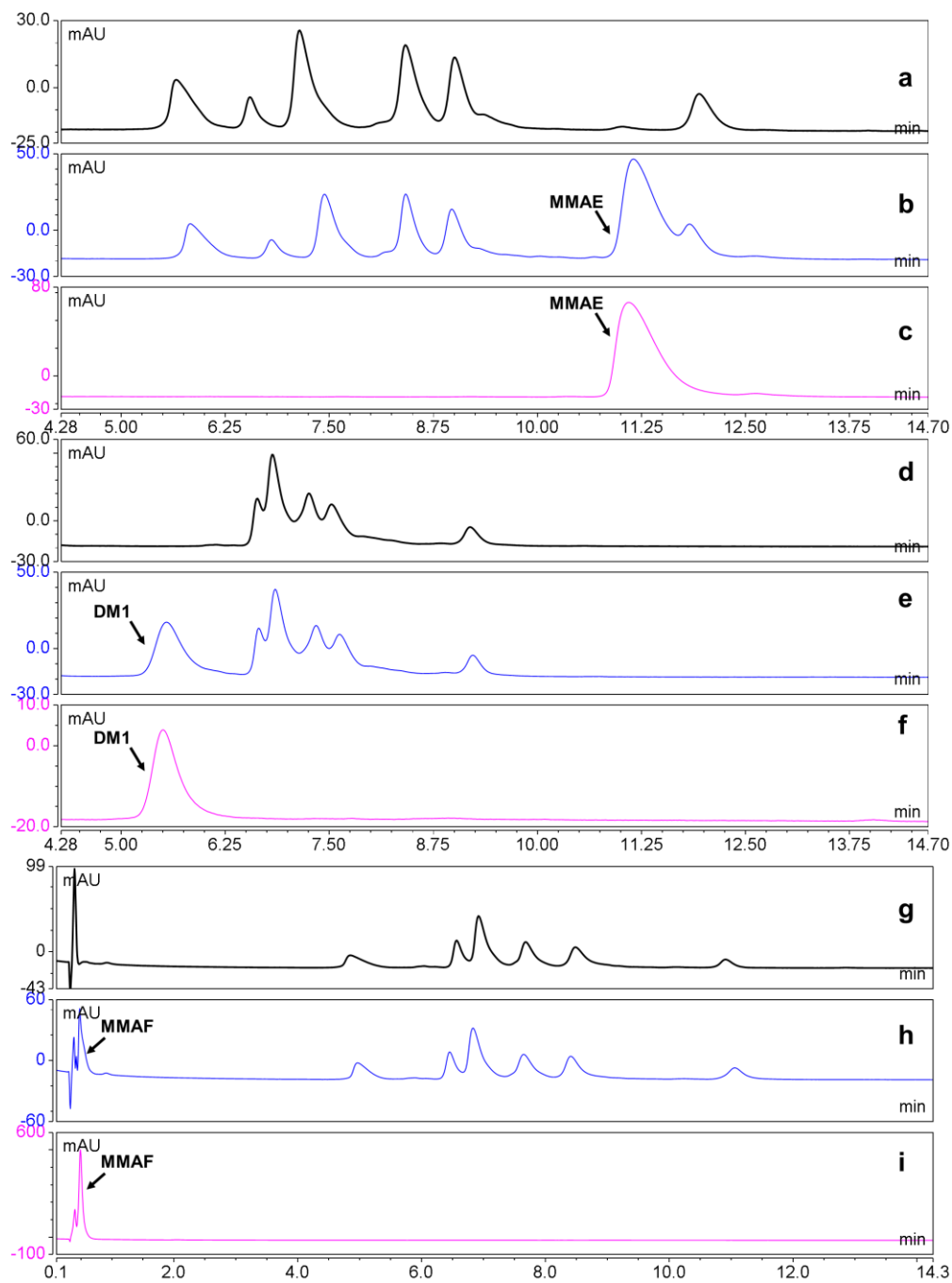
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 321 Fig. 3 Abbreviation and illustration of cysteine-based ADC; a) proposed ADC species after cysteine conjugation b)
 322 possible structure in RP-HPLC for intact ADCs (observed in Wirth's analysis¹⁹); c) possible structure in RP-HPLC
 323 for intact ADCs (not observed in Wirth's analysis¹⁹)
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 326 Fig. 4 Relationship between area % of H3 in intact analysis and DAR generated by reduced analysis, a) trastuzumab-
 327 MMAE, b) rituximab-MMAE, c) infliximab-MMAE
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337 Fig. 5 An *in-situ* RP-HPLC analysis: a) trastuzumab-MMAE (post purification); b) trastuzumab-MMAE (*in-situ*

338 analysis); c) MC-VC-MMAE; d) trastuzumab-DM1 (post purification); e) trastuzumab-DM1 (*in-situ* analysis); f)

339 SMCC-DM1; g) trastuzumab-MMAF (post purification); e) trastuzumab-MMAF (*in-situ* analysis); f) MC-MMAF

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